

# Agenda

## REGULATORY AND AUDIT COMMITTEE

**Date:** Wednesday 28 January 2015  
**Time:** 9.00 am  
**Venue:** Mezzanine Room 2, County Hall, Aylesbury

| Agenda Item  | Time | Page No   |
|--|------|-----------|
| 1 <b>APOLOGIES FOR ABSENCE / CHANGES IN MEMBERSHIP</b>   |      |           |
| 2 <b>DECLARATIONS OF INTEREST</b><br>To disclose any Personal or Disclosable Pecuniary Interests   |      |           |
| 3 <b>MINUTES</b><br>of the meeting held on 13 November 2014 to be confirmed as a correct record  |      | 3 - 16    |
| 4 <b>TREASURY MANAGEMENT STRATEGY</b><br><br>Report from Julie Edwards, Pension and Investments Manager                                  |      | To Follow |
| 5 <b>DEBT MANAGEMENT STRATEGY</b><br>Report from Mark Preston, Assistant Head of Finance   |      | 17 - 26   |
| 6 <b>LOCAL GOVERNMENT PENSION SCHEME (LGPS) - LOCAL PENSION BOARD</b><br>Report from Claire Lewis-Smith – Principal Pensions Officer     |      | 27 - 34   |
| 7 <b>UPDATE REPORT ON ACADEMIES, BLT, SEN AND AMEY CONTRACT</b><br>Verbal report from Chris Munday, Service Director Learning and Skills |      |           |
| 8 <b>CONTRACT MANAGEMENT APPLICATION/ COMPLIANCE REPORT</b><br>Report from Tricia Hook – Senior Procurement Manager                      |      | 35 - 46   |



INVESTOR IN PEOPLE



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| <b>9</b>  | <b>INTERNAL AUDIT PROGRESS REPORT</b><br>Report from Ian Dyson – Chief Internal Auditor  | <b>47 - 62</b> |
| <b>10</b> | <b>UPDATE ON RISK AND ASSURANCE STRATEGY</b><br>Verbal update from Maggie Gibb, Risk and Insurance Manager   |                |
| <b>11</b> | <b>RISK MANAGEMENT GROUP UPDATE REPORT</b><br>Report from Maggie Gibb, Risk and Insurance Manager  | <b>63 - 66</b> |
| <b>12</b> | <b>FORWARD PLAN</b>  | <b>67 - 70</b> |
| <b>13</b> | <b>DATE AND TIME OF NEXT MEETING</b><br>15 April 2015 at 9am – Mezzanine Room 2  |                |
| <b>14</b> | <b>EXCLUSION OF THE PRESS AND PUBLIC</b><br>To resolve to exclude the press and public as the following item is exempt by virtue of Paragraph 3 of Part 1 of Schedule 12a of the Local Government Act 1972 because it contains information relating to the financial or business affairs of any particular person (including the authority holding that information) |                |
| <b>15</b> | <b>CONFIDENTIAL MINUTES</b><br>of the meeting held on 13 November 2014   | <b>71 - 74</b> |
| <b>16</b> | <b>CONFIDENTIAL APPENDICES RELATING TO THE INTERNAL AUDIT PLAN PROGRESS REPORT</b>   | <b>75 - 84</b> |

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If you would like to attend a meeting, but need extra help to do so, for example because of a disability, please contact us as early as possible, so that we can try to put the right support in place.

*For further information please contact: Clare Gray on 01296 383610  
Fax No 01296 382421, email: [cgray@buckscc.gov.uk](mailto:cgray@buckscc.gov.uk)*

**Members**

|                   |                   |
|-------------------|-------------------|
| Mr T Butcher (VC) | Mr Z Mohammed (C) |
| Mr W Chapple OBE  | Mr R Scott        |
| Mr R Khan         | Mr A Stevens      |
| Mr D Martin       | Mr W Whyte        |